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|--|----|---|----------|---|----------------------------|--|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |          | ATTY DOCKET NO.<br><b>265125US2PCT</b>        |                            | SERIAL NO.<br><b>10/523,815</b>                                  |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |   |          | APPLICANT<br><b>Toshimitsu KOHARA, et al.</b> |                            |  |                               |
|  |    |   |          | FILING DATE<br><b>February 4, 2005</b>        |                            | GROUP<br><b>1795</b>   |                               |
|  |    |   |          |   |                            |  |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |    |   |          |   |                            |  |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER  | DATE     | NAME  | CLASS                      | SUB<br>CLASS   | FILING DATE<br>IF APPROPRIATE |
|  | AA | 5,698,314   | 12/16/97 | GOEDICKE, et al.                              |                            |  |                               |
|  | AB |   |          |   |                            |  |                               |
|  | AC |   |          |   |                            |  |                               |
|  | AD |   |          |   |                            |  |                               |
|  | AF |   |          |   |                            |  |                               |
|  | AF |   |          |   |                            |  |                               |
|  | AG |   |          |   |                            |  |                               |
|  | AH |   |          |   |                            |  |                               |
|  | AI |   |          |   |                            |  |                               |
|  | AJ |   |          |   |                            |  |                               |
|  | AK |   |          |   |                            |  |                               |
|  | AL |   |          |   |                            |  |                               |
|  | AM |   |          |   |                            |  |                               |
|  | AN |   |          |   |                            |  |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |   |          |   |                            |  |                               |
|  |    | DOCUMENT<br>NUMBER  | DATE     | COUNTRY                                       | TRANSLATION<br>YES      NO |  |                               |
|  | AO |   |          |   |                            |  |                               |
|  | AP |   |          |   |                            |  |                               |
|  | AO |   |          |   |                            |  |                               |
|  | AR |   |          |   |                            |  |                               |
|  | AS |   |          |   |                            |  |                               |
|  | AT |   |          |   |                            |  |                               |
|  | AU |   |          |   |                            |  |                               |
|  | AV |   |          |   |                            |  |                               |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |   |          |   |                            |  |                               |
|  | AW | European Search Report issued September 6, 2010 in PCT/JP0310115  |          |   |                            |  |                               |
|  | AX | ZYWITZKI O ET AL: "Influence of coating parameters on the structure and properties of A1203 layers reactively deposited by means of pulsed magnetron sputtering" SURFACE AND COATINGS TECHNOLOGY ELSEVIER SWITZERLAND, vol. 86-87, no. 1-3, 15 December 1996 (1996-12-15), pages 640-647, XP002597919, ISSN: 0257-8972, figure 1: table 1, page 642, right-hand column, paragraph 2 |          |   |                            |  |                               |
|  | AY |   |          |   |                            |  |                               |
|  | AZ |   |          |   |                            | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner   |    |   |          |   | Date Considered            |  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |   |          |   |                            |  |                               |